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# A Theoretically Rigorous Full-Wave Finite-Element-Based Solution of Maxwell's Equations from DC to High Frequencies

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## Abstract

It has been observed that finite element based solutions of full-wave Maxwell's equations break down at low frequencies. In this paper, we present a theoretically rigorous method to fundamentally eliminate the low-frequency breakdown problem. The key idea of this method is that the original frequency-dependent deterministic problem can be rigorously solved from a generalized eigenvalue problem that is frequency independent. In addition, we found that the zero eigenvalues of the generalized eigenvalue problem cannot be obtained as zeros because of finite machine precision. We hence correct the inexact zero eigenvalues to be exact zeros. The validity and accuracy of the proposed method have been demonstrated by the analysis of both lossless and lossy problems having on-chip circuit dimensions from DC to high frequencies.

The proposed method is applicable to any frequency. Hence it constitutes a unified solution of Maxwell's equations in a full electromagnetic spectrum. The proposed method can be used to not only fundamentally eliminate the low-frequency breakdown problem, but also benchmark the accuracy of existing electromagnetic solvers at low frequencies including static solvers. Such a benchmark does not exist yet because full-wave solvers break down while static solvers involve theoretical approximations.

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**Abstract**—It has been observed that finite element based solutions of full-wave Maxwell's equations break down at low frequencies. In this paper, we present a theoretically rigorous method to fundamentally eliminate the low-frequency breakdown problem. The key idea of this method is that the original *frequency-dependent* deterministic problem can be rigorously solved from a generalized eigenvalue problem that is *frequency independent*. In addition, we found that the zero eigenvalues of the generalized eigenvalue problem cannot be obtained as zeros because of finite machine precision. We hence correct the inexact zero eigenvalues to be exact zeros. The validity and accuracy of the proposed method have been demonstrated by the analysis of both lossless and lossy problems having on-chip circuit dimensions from DC to high frequencies.

The proposed method is applicable to any frequency. Hence it constitutes a unified solution of Maxwell's equations in a full electromagnetic spectrum. The proposed method can be used to not only fundamentally eliminate the low-frequency breakdown problem, but also benchmark the accuracy of existing electromagnetic solvers at low frequencies including static solvers. Such a benchmark does not exist yet because full-wave solvers break down while static solvers involve theoretical approximations.

**Index Terms**—Low-frequency breakdown, finite element methods, electromagnetic analysis, full-wave analysis, VLSI circuits.

## I. INTRODUCTION

**T**he Finite-Element Method (FEM) has been widely used for electromagnetic analysis due to its great capability in handling arbitrary inhomogeneous materials and irregularly shaped structures. In recent years, the method has been used for the design and analysis of very large scale integrated (VLSI) circuits because process scaling and frequency scaling necessitate a full-wave based analysis [1]–[3]. However, it has been found that a full-wave FEM-based solver, i.e. an FEM-based solution of full-wave Maxwell's equations, breaks down at low frequencies [4]–[9]. The typical breakdown frequency is tens of MHz in VLSI circuit applications, which falls right into the frequency range in which a VLSI circuit works. Thus, the low-frequency breakdown problem becomes a very critical problem that demands an effective solution. The low-frequency breakdown problem has also been observed in integral-equation based solvers [10]–[14].

In order to overcome the low-frequency breakdown problem, a natural solution is to stitch a static- or quasistatic-based electromagnetic solver with a full-wave-based electromagnetic solver. However, this solution is cumbersome and inaccurate. First, one has to develop and accommodate both tools and switch between these two when necessary. More importantly, at which frequency such a switching is necessary is questionable. The starting frequency point at which a full-wave solution breaks down is different for different problems. For simple structures, given a frequency, designers can still use their physical intuitions to judge whether the breakdown occurs or not; for complicated circuits, however, it is difficult to make such judgment. Third, static or quasi-static solvers by themselves involve theoretical approximations because they assume that  $\mathbf{E}$  and  $\mathbf{H}$  are decoupled at low frequencies. However, in Maxwell's equations,  $\mathbf{E}$  and  $\mathbf{H}$  are always coupled as long as frequency is not zero. Although static solvers have been successful at low frequencies in practical applications, one also has to admit the fact that they are not theoretically rigorous. Decoupling  $\mathbf{E}$  and  $\mathbf{H}$  can result in a different level of accuracy at different frequencies.

The other popular solution to overcoming the low-frequency breakdown problem is to switch basis functions. For example, the loop-tree and loop-star basis functions [10], [12] were used to achieve a natural Helmholtz decomposition of the current to overcome the low-frequency breakdown problem in integral-equation-based methods. As another example, the tree-cotree splitting scheme [5], [6] was used to provide an approximate Helmholtz decomposition for edge elements in finite-element-based methods. The edge basis functions were used on the cotree edges, whereas the scalar basis functions were incorporated on the free nodes associated with the tree edges to represent the gradient field. Again, this solution is not convenient since one has to change basis functions, and hence the system matrix, to extend the applicability of a full-wave solver to low frequencies. In addition, the same approach can not be applied to high frequencies. In other words, the solution is not universal across all frequencies. Moreover, Helmholtz decomposition of the field by itself is not theoretically rigorous since it is exact only at DC. In addition, existing tree-cotree splitting based FEM solutions of vector wave equations has not fundamentally solved the low-frequency breakdown problem. For example, it was shown that a tree-cotree splitting scheme can be used to extend a full-wave finite-element-based solution to 1 MHz for typical on-chip dimensions [6]. However, for frequencies lower than 1 MHz, extrapolation techniques are

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required.

In [8], we developed a solution in the framework of a 2.5-D eigenvalue-based FEM method for the modeling of on-chip interconnects from DC to high frequencies. In [9], we developed a method to eliminate the low-frequency breakdown problem for the 3-D FEM-based solution of vector wave equations. Both solutions have two important advantages. First, they avoid switching basis functions. The same system matrix is used across all frequencies. Second, the solutions are valid at frequencies as low as DC. With the two advantages achieved, the solutions can be incorporated into any existing FEM solver to remove the low-frequency problem with great ease. The solutions developed in [8] and [9] both have an underlying assumption that at low frequencies where a full-wave solution breaks down,  $\mathbf{E}$  and  $\mathbf{H}$  are decoupled. While they continue to be effective and efficient in practical applications, in this work, we aim to develop a theoretically rigorous approach that does not require such a static assumption.

There are two reasons for us to consider a theoretically rigorous approach to solving Maxwell's coupled equations from DC to any high frequency. First, such an approach can be used to completely eliminate the low-frequency breakdown problem. Second, such an approach can be used as a golden reference to benchmark the accuracy of any electromagnetic solver at low frequencies. Such a golden reference in fact does not exist yet because full-wave solvers break down at low frequencies, while static solvers involve theoretical approximations. One might argue that the accuracy of an electromagnetic solver at low frequencies can always be tested out by checking the solution error using the relative residual. However, the system matrix resulting from a full-wave analysis at low frequencies has such a high condition number that a slight error in matrix solution can result in a big difference in the residual, and hence the relative residual cannot be used as a criterion to validate the electromagnetic solver at low frequencies. Certainly, there exist a few structures that have analytical solutions. However, for complicated structures, one still have to rely on a numerical solution that is theoretically rigorous to benchmark the accuracy.

In this work, we first perform a theoretical analysis of the low-frequency breakdown problem. We conclude that as long as computers have finite precision, the conventional FEM-based solution of full-wave Maxwell's equations would break down at certain frequency. This is true not only for VLSI circuits, but also for traditional millimeter and microwave circuits. The problem is not important in the latter because the breakdown frequency typically is out of the frequency range in which a millimeter or microwave circuit works. However, for the former, the breakdown problem is very significant because a full-wave solution breaks down at the working frequencies of a VLSI circuit.

Therefore, the question here is: given finite machine precision, how to bypass the low-frequency breakdown problem without decoupling  $\mathbf{E}$  and  $\mathbf{H}$ ? The proposed method is developed to answer this question. In this method, we rigorously transform the original *frequency-dependent* deterministic problem to a generalized eigenvalue problem that is *frequency independent*. From the solution of the generalized eigenvalue

problem, we can use the modal superposition method [15]–[17] to rigorously obtain the solution of the original frequency dependent problem. Since the transformed eigenvalue problem does not depend on frequency, the low-frequency breakdown problem is naturally bypassed. However, this does not completely solve the problem because the zero eigenvalues of the resultant eigenvalue problem due to either the null space of the stiffness matrix or the DC mode of the physical circuit cannot be obtained as exact zeros numerically. This is because the largest eigenvalue, i.e. the highest resonance frequency of a VLSI circuit, is extremely large due to  $\mu\text{m}$  level physical dimensions. And hence any eigenvalue that is  $10^{-16}$  smaller than the largest eigenvalue is in fact zero in double precision computing. Thus, the problem of inexact zero eigenvalues can be fixed easily by correcting them to be zeros.

The proposed theoretically rigorous approach has the following important merits: (1) It does not involve any theoretical approximation; (2) It avoids switching basis functions. The edge basis that is traditionally used for vector finite element analysis is employed across all frequencies; (3) It preserves the system matrix. The same mass and stiffness matrices that are constructed in a traditional full-wave FEM solver are used from DC to high frequencies; (4) The approach is equally applicable to any high frequency in addition to low frequencies, and hence constituting a unified solution to Maxwell's equations in a full electromagnetic spectrum.

## II. ANALYSIS OF THE LOW-FREQUENCY BREAKDOWN PROBLEM

### A. 3D Full-Wave Finite-Element-Based Solution

Consider the second-order vector wave equation

$$\nabla \times [\mu_r^{-1} \nabla \times \mathbf{E}] - \omega^2 \epsilon_r / c^2 \mathbf{E} = -j\omega \mu_0 \mathbf{J}, \quad (1)$$

where  $\mu_r$  is relative permeability,  $\epsilon_r$  is relative permittivity,  $\omega$  is angular frequency,  $c$  is the speed of light, and  $\mathbf{J}$  represents a current source.

By expanding the unknown  $\mathbf{E}$  using vector basis function  $\mathbf{N}$  as

$$\mathbf{E} = \sum_{i=1}^n u_i \mathbf{N}_i, \quad (2)$$

a finite-element-based analysis of (1) subject to the Dirichlet- or Neumann-type boundary condition yields the following matrix equation [18]

$$(\mathbf{S} - \omega^2 \mathbf{T}) \mathbf{u} = \mathbf{b}, \quad (3)$$

where  $\mathbf{S}$  is known to be a stiffness matrix,  $\mathbf{T}$  is known to be a mass matrix, and  $\mathbf{b}$  is an excitation vector. The  $\mathbf{S}$ ,  $\mathbf{T}$ , and  $\mathbf{b}$  are assembled from their elemental contributions as follows

$$\begin{aligned} \mathbf{S}_{ij}^e &= \iint \int_{V^e} (\nabla \times \mathbf{N}_i) \cdot (\nabla \times \mathbf{N}_j) dV, \\ \mathbf{T}_{ij}^e &= \mu \epsilon \iint \int_{V^e} \mathbf{N}_i \cdot \mathbf{N}_j dV, \\ b_i^e &= -j\omega \mu \iint \int_{V^e} \mathbf{N}_i \cdot \mathbf{J} dV. \end{aligned} \quad (4)$$

In vector finite element analysis, the most widely used basis is the edge basis [18], [19].

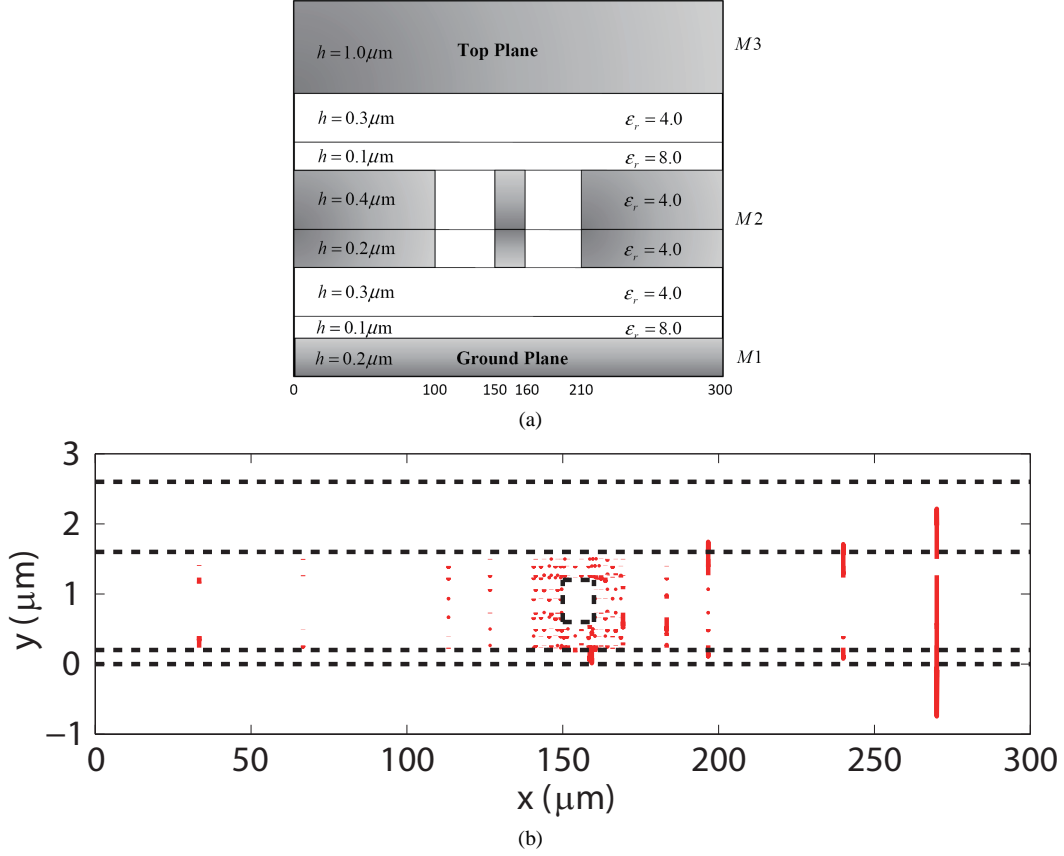


Fig. 1. (a) Cross-sectional view of a 3-D on-chip interconnect. (b) Electric field distribution generated by a conventional full-wave FEM-based analysis.

It was shown by our numerical experiments that, in general, the solution of (3) breaks down at tens of MHz in typical on-chip problems, the electric size of which can be smaller than  $10^{-9}$  wavelengths. As an example, consider a 3-D on-chip interconnect, the cross-sectional view of which is shown in Fig. 1(a). The structure is of length 2000  $\mu\text{m}$  into the paper. The interconnect involves a center strip line with two parallel return wires in M2 layer, a metal plane that is 0.2  $\mu\text{m}$  thick in M1 layer, and a metal plane that is 1  $\mu\text{m}$  thick in M3 layer. A current source is launched from the bottom plane to the center strip line. In Fig. 1(b), we plot the electric field distribution in the transverse plane at low frequencies, where each horizontal dashed line represents a material interface. Clearly, the FEM solution breaks down. Between M2 and M3, the electric field should point from the center strip to the upper metal plane, and be perpendicular to the upper metal plane, which cannot be seen from Fig. 1(b).

Equation (3) describes a lossless system. Consider a lossy system formed inside conductors. Note that at low frequencies, fields penetrate into conductors because skin depth can be comparable to or larger than the physical dimension of the conductor. In such a lossy system, the electric field  $\mathbf{E}$  satisfies the following second-order vector wave equation

$$\nabla \times [\mu_r^{-1} \nabla \times \mathbf{E}] - \omega^2 \epsilon_r / c^2 \mathbf{E} + j\omega \mu_0 \sigma \mathbf{E} = -j\omega \mu_0 \mathbf{J}, \quad (5)$$

where  $\sigma$  is conductivity. A finite element analysis of (5) inside good conductors results in the following system of equations

$$(\mathbf{S} + j\omega \mathbf{R})\mathbf{u} = \mathbf{b}, \quad (6)$$

where the mass matrix  $\mathbf{T}$  is absent because inside a good conductor, displacement current can be ignored compared to conduction current. The loss-related matrix  $\mathbf{R}$  has the following entries:

$$\mathbf{R}_{ij}^e = \mu \sigma \iiint_{V^e} \mathbf{N}_i \cdot \mathbf{N}_j dV. \quad (7)$$

At very low frequencies, we observe that the solution of (6) also breaks down, although the breakdown frequency is much lower than that of (3).

In the next section, we analyze the low-frequency breakdown problem, and show why the FEM-based solution of vector wave equations breaks down at low frequencies.

### B. Analysis of Low-Frequency Breakdown Problem

To analyze the low-frequency breakdown problem, we examine the ratio of  $\mathbf{S}$ 's norm over  $\mathbf{T}$ 's norm in (3). From (4), it is clear that  $\mathbf{S}_{ij}$  is an  $O(l)$  quantity, and  $\mathbf{T}_{ij}$  is a quantity proportional to  $10^{-17}(l^3)$ , where  $l$  is the average edge length in a 3-D discretization. Hence, we obtain the following relationship

$$\frac{\|\mathbf{S}\|}{\|\mathbf{T}\|} = \frac{10^{17}}{O(l^2)}, \quad (8)$$

where  $\|\cdot\|$  denotes a matrix norm. The above analysis is based on a normalized basis  $\mathbf{N}$ . If  $\mathbf{N}$  is not normalized, although the norm of  $\mathbf{T}$  and that of  $\mathbf{S}$  change, the ratio of  $\mathbf{S}$ 's norm over  $\mathbf{T}$ 's norm remains the same as (8).

For circuits having large physical sizes such as millimeter wave circuits,  $l$  is in the order of  $10^{-3}$  m. Hence the ratio of  $\mathbf{S}$ 's norm over  $\mathbf{T}$ 's norm is in the order of  $10^{23}$ . However, for state-of-the-art VLSI circuits,  $l$  is at the level of  $1 \mu\text{m}$ . Hence, the ratio of  $\mathbf{S}$ 's norm over  $\mathbf{T}$ 's norm is in the order of  $10^{29}$ , which is significantly larger than that in a millimeter wave circuit.

Since  $\|\mathbf{T}\|$  is  $10^{-29}$  smaller than  $\|\mathbf{S}\|$  in a VLSI circuit, at low frequencies, even one uses double-precision computing, the mass matrix  $\mathbf{T}$  is essentially treated as zero by computers when performing the addition of  $\mathbf{T}$  and  $\mathbf{S}$ . As a result, the breakdown occurs. If we directly solve (3) without scaling, we cannot preserve the effect of  $\mathbf{T}$ . However, if we scale  $\mathbf{T}$ ,  $\mathbf{S}$  has to be simultaneously scaled. Therefore, the bad scaling of (3) is caused by physics instead of numerical reasons. The large ratio between  $\|\mathbf{S}\|$  and  $\omega^2\|\mathbf{T}\|$  is dictated by the electric size of the structure, which cannot be reduced by a matrix scaling technique. If an infinite-precision machine is available, the solution of (3) would not break down at low frequencies because the machine can capture the effect of  $\mathbf{T}$ .

For a lossy system shown in (6), the  $\mathbf{R}_{ij}$  is a quantity proportional to  $10^{-17}(l^3) \times \sigma/\epsilon$ , and hence is much larger than  $\mathbf{T}_{ij}$ . As a result, the ratio of the  $\mathbf{R}_{ij}$ 's norm over  $\mathbf{S}_{ij}$ 's norm is much larger, and hence the breakdown frequency of (6) is much lower than that of a lossless system.

### C. Identification of breakdown frequency

The above analysis also suggests an analytical way to estimate the breakdown frequency. As can be seen from (3),  $\mathbf{T}$  is multiplied by  $\omega^2$  in the system matrix. Therefore, if the ratio of  $\|\mathbf{T}\|$  over  $\|\mathbf{S}\|$  is  $10^{-29}$ ,  $\omega^2$  has to be as large as  $10^{13} - 10^{14}$  so that a double-precision computation can take  $\mathbf{T}$ 's contribution into consideration. Therefore, the breakdown frequency falls into the range of  $10^6 - 10^7$  Hz for  $\mu\text{m}$ -scale structures, which shows excellent agreement with our numerical experiments.

For the lossy system formed inside a conductor, assuming  $\sigma$  is at the level of  $10^7$  S/m, then the ratio of  $\|\mathbf{R}\|$  over  $\|\mathbf{S}\|$  is  $O(10^{-10})$ . Hence, as long as  $\omega^2$  is no less than  $10^{-5} - 10^{-6}$ , the contribution of  $\mathbf{R}$  can be effectively taken into consideration by double-precision computing. Therefore, the breakdown frequency for the lossy system formed inside a conductor falls into the range of  $10^{-2} - 10^{-3}$  Hz for  $\mu\text{m}$ -scale structures, which also shows excellent agreement with our numerical experiments.

From the aforementioned analysis, it can be seen clearly that the low-frequency breakdown problem occurs earlier, i.e. at a higher frequency, in a lossless system than that occurs in a lossy system. In addition, due to finite machine precision, it is inevitable that the FEM based solution of vector wave equations break down at low frequencies. This breakdown occurs not only in VLSI circuits, but also in microwave and millimeter wave circuits, and other electromagnetic applications. For VLSI circuits and future nanometer circuit applications, the breakdown problem demands a solution because the breakdown frequency is within the working frequency band of the circuits, whereas the breakdown problem was ignored

or may not be noticed in microwave applications because the breakdown frequency is too low that it is outside of the operating frequency band.

## III. PROPOSED THEORETICALLY RIGOROUS SOLUTION

From Section II, apparently, as long as one solves coupled Maxwell's equations, and hence a combined  $\mathbf{T}$  and  $\mathbf{S}$  system like (3), one cannot fundamentally eliminate the low-frequency breakdown problem because computers have finite precision. Thus, employing static approximations such as decoupling  $\mathbf{E}$  and  $\mathbf{H}$  at low frequencies seems to be the only way forward. However, once one makes use of the static approximations, the resultant approach is not theoretically rigorous.

In the following, we propose a method that can fundamentally eliminate the low-frequency breakdown problem without making any theoretical approximation. In this method, we solve full-wave Maxwell's equations as they are without invoking static assumptions. We use the edge basis across frequencies from DC to high frequencies, and hence keeping the same mass and stiffness matrices throughout the frequencies. Our proposed solution is truly a unified solution from DC to any high frequency. This has not been achieved by existing methods developed for overcoming the low-frequency problem. For example, methods that rely on basis-function switching at low frequencies cannot apply the same basis function to high frequencies.

The key idea of the proposed method is that the *frequency dependent* deterministic problem in (3) can be rigorously solved by the following *frequency independent* eigenvalue problem [15]–[17]

$$\mathbf{S}x = \lambda\mathbf{T}x, \quad (9)$$

where  $\lambda$  is the eigenvalue, and  $x$  is the eigenvector. Since  $\mathbf{S}$  is symmetric semi-positive definite and  $\mathbf{T}$  is symmetric positive definite, the eigenvalues  $\lambda$  are non-negative real numbers. Meanwhile, the eigenvectors  $x$  are  $\mathbf{S}$  and  $\mathbf{T}$  orthogonal. Denoting the eigenvalues of (9) by  $\lambda_1, \lambda_2, \dots, \lambda_N$ , and the corresponding eigenvectors by  $x_1, x_2, \dots, x_N$ . Let  $\Phi = [x_1, x_2, \dots, x_N]$ , we have

$$\begin{aligned} \Phi^T \mathbf{T} \Phi &= \mathbf{I}, \\ \Phi^T \mathbf{S} \Phi &= \mathbf{\Lambda}, \end{aligned} \quad (10)$$

where  $\mathbf{I}$  is an identity matrix, and  $\mathbf{\Lambda}$  is a diagonal matrix, the  $i$ -th element of which is  $\lambda_i$ .

After solving the generalized eigenvalue problem (9), the deterministic problem (3) can be solved in the following way [15]–[17]. First, we expand unknown  $u$  of (3) in the space of  $\Phi$

$$u = \Phi \tilde{u}, \quad (11)$$

where  $\tilde{u}$  is an unknown coefficient vector, the element of which represents the weight of each eigen vector in  $u$ . Next, we solve for  $\tilde{u}$ .

Substituting (11) into (3), and testing (3) by  $\Phi^T$ , we obtain

$$\Phi^T (\mathbf{S} - \omega^2 \mathbf{T}) \Phi \tilde{u} = \Phi^T b. \quad (12)$$

Since  $\Phi$  are  $\mathbf{S}$  and  $\mathbf{T}$  orthogonal as shown in (10), (12) becomes

$$\begin{pmatrix} \lambda_1 - \omega^2 & \cdots & 0 \\ \vdots & \ddots & \vdots \\ 0 & \cdots & \lambda_N - \omega^2 \end{pmatrix} \tilde{u} = \Phi^T b. \quad (13)$$

Thus, we can solve a diagonal system (13) to obtain  $\tilde{u}$ , from which  $u$  can be readily obtained from (11).

For a lossy system formed inside a conductor, we perform the same eigenvalue analysis (9). We then substitute (11) into (6), and test (6) by  $\Phi^T$ , we obtain

$$\Phi^T (\mathbf{S} + j\omega \mathbf{R}) \Phi \tilde{u} = \Phi^T b. \quad (14)$$

Since  $\Phi$  are  $\mathbf{S}$  and  $\mathbf{T}$  orthogonal, (14) again becomes a diagonal system

$$\begin{pmatrix} \lambda_1 + j\omega & \cdots & 0 \\ \vdots & \ddots & \vdots \\ 0 & \cdots & \lambda_N + j\omega \end{pmatrix} \tilde{u} = \Phi^T b, \quad (15)$$

from which  $\tilde{u}$  can be readily solved. Once  $\tilde{u}$  is solved,  $u$  can be obtained from (11).

Clearly, the above solution that is based on modal superposition naturally bypasses the low-frequency breakdown problem, since (9) is frequency independent and (13) and (15) can be readily solved due to the diagonal nature of the system matrix. Equations (13) and (15) can be viewed as a number of decoupled  $1 \times 1$  matrices. Even though the eigenvalue spectrum of (9) is very wide, resulting in a large condition number of (3), the diagonal nature of (13) and (15) makes the condition number of each  $1 \times 1$  matrix equal to 1.

However the aforementioned modal superposition method for solving (3) and (6) does not completely solve the problem. We have to add another step after the eigenvalue solution. To explain, the eigenvalues of (9) can be divided into two groups. One group consists of all the zero eigenvalues associated with the null space of  $\mathbf{S}$  as well as the physical DC modes of the structure such as an integrated circuit. The other group consists of the resonant frequencies of the 3-D structure being simulated. For VLSI circuits, the eigenvalues in the second group are extremely large because the geometrical dimensions of on-chip circuits are very small. For example, in a typical on-chip circuit having  $\mu\text{m}$  dimensions, the largest eigenvalue of (9) can be as large as  $10^{30}$ . An eigenvalue solver generally converges to the maximum eigenvalue first, and hence the values that are sixteen orders of magnitude smaller than the maximum one are not distinguishable in double-precision computing. As a result, the zero eigenvalues of (9) are not found to be exact zeros numerically. Instead, for a structure having the largest eigenvalue  $10^{30}$ , the zero eigenvalues of (9) are numerically obtained as  $10^{14}$ . Furthermore, the smaller the physical dimension of the structure, the greater the largest eigenvalues, and hence the greater the eigenvalues which theoretically are zero but numerically are calculated to be nonzero.

When frequency is high, the inexact zero eigenvalues do not induce much error because  $\lambda - \omega^2$  in (13) is still approximately equal to  $-\omega^2$  even if  $\lambda$  is not exactly zero. However, at low

frequencies, the error can be very significant. At a relatively low frequency,  $\omega^2$  can be easily overwhelmed by these inexact zero eigenvalues, leading to a completely wrong frequency dependence in the final solution  $u$ . Fortunately, even though the zero eigenvalues of (9) are not output as zeros by a computer due to finite precision, the eigenvectors of (9) are still accurate because they are  $\mathbf{T}$  orthogonal, and hence in the similar order of magnitude. This can also be seen clearly from the following experiment. We solve the eigenvalue problem (9) from

$$\alpha \mathbf{S}x = \lambda \mathbf{T}x, \quad (16)$$

where  $\alpha$  is a scaling factor that is artificially introduced to normalize eigenvalues. Based on the ratio of  $\mathbf{S}$ 's norm over  $\mathbf{T}$ 's norm analyzed in Section II for typical on-chip circuits,  $\alpha$  was chosen as  $10^{-29}$ . The largest eigenvalue of (16) was found to be 10, whereas the smallest one, was found to be  $10^{-16}$ , which is essentially zero. The eigenvectors of (16) are the same as those of (9), whereas the eigenvalues of (16) have to be multiplied by  $10^{29}$  to obtain the eigenvalues of (9). Hence the  $10^{-16}$  eigenvalue, which is a zero eigenvalue, becomes  $10^{14}$  in (9). This proves why the  $10^{14}$  eigenvalue of (9) is in fact zero, and why the eigenvectors are still correctly obtained.

A natural remedy to the inexact zero eigenvalue problem is as follows. After obtaining the eigenvalues of (9), we change all the eigenvalues which theoretically should be zero, but numerically obtained as nonzero, to be purely zero. Since these inexact zero eigenvalues are the smallest eigenvalues of (9), there is a clear gap between these eigenvalues and other eigenvalues that correspond to cavity resonance frequencies. Hence, we can identify these inexact zero eigenvalues without any difficulty.

*Discussion:* For null-space modes and DC modes of (9), we expect that  $\mathbf{S}x = 0$  since the corresponding eigenvalues are zero. However, due to finite machine precision and extremely large resonant frequencies of on-chip circuits, the zero eigenvalues of (9) cannot be found as zero numerically. Instead, they are given by computers as large numbers in absolute values. This may mislead one to think that edge basis may have some problems at low frequencies. In fact, the  $\mathbf{S}x$ 's being nonzero for gradient-type modes is caused by finite machine precision.

One might argue that the proposed method of solving low-frequency breakdown problems is not practical because of the requirement of solving an eigenvalue problem. First, the focus of this paper is to provide a theoretically rigorous solution to benchmark other low-frequency solutions. The practical solutions can be found from [8], [9]. Second, the proposed solution can also be made practical because at low frequencies, only a few eigenmodes need to be extracted as can be seen from (13), where the weight of the eigenmodes that has a nonzero eigenvalue  $\lambda_i$  is orders of magnitude smaller than that of the DC mode. Extracting a few selected eigenmodes out of (9) can be performed in linear complexity as can be seen from [3], [20]. In addition, such an extraction only needs to be done once. It can be reused for all frequencies.



TABLE I  
CAPACITANCE SIMULATED BY THE PROPOSED METHOD ( $C$ ) AND  
CAPACITANCE SIMULATED BY THE TRADITIONAL FULL-WAVE FEM  
SOLVER ( $C^*$ )

Frequency (Hz)	$C^*$ (pF)	$C$ (pF)
1 K	$-0.2758 \times 10^4$	$3.0947 \times 10^{-3}$
1	$-0.2758 \times 10^{10}$	$3.0947 \times 10^{-3}$
$10^{-32}$	$-0.2758 \times 10^{72}$	$3.0947 \times 10^{-3}$

TABLE II  
ELECTRIC FIELD SIMULATED BY THE PROPOSED METHOD ( $\mathbf{E}$ ) AND  
ELECTRIC FIELD SIMULATED BY THE TRADITIONAL FULL-WAVE FEM  
SOLVER ( $\mathbf{E}^*$ )

Frequency (Hz)	$\ \mathbf{E}^*\ $ (V/m)	$\ \mathbf{E}\ $ (V/m)
1 K	$1.38479 \times 10^{12}$	$1.23429 \times 10^{18}$
1	$1.38479 \times 10^9$	$1.23429 \times 10^{21}$
$10^{-32}$	$1.38479 \times 10^{-23}$	$1.23429 \times 10^{53}$

#### IV. NUMERICAL RESULTS

In order to verify the proposed method, first, a parallel-plate waveguide structure that has an analytical solution is simulated. The waveguide width, height, and length are set to be  $10 \mu\text{m}$ ,  $1 \mu\text{m}$ , and  $35 \mu\text{m}$ , respectively in accordance with the typical dimensions of on-chip circuits. The analytical capacitance is known for this structure, which is  $3.0989 \times 10^{-3}$  pF. A current source of 1 A is injected from the bottom plane to the top plane. The simulation based on a conventional full-wave FEM solver breaks down at 10 MHz, whereas the proposed solution is valid at all frequencies. In Table I, we compare the capacitance simulated using the proposed method and that simulated by a conventional FEM solver at 1 KHz, 1 Hz, and  $10^{-32}$  Hz respectively. It is clear that the proposed solution agrees very well with the analytical solution, whereas the conventional FEM solver is totally wrong at low frequencies.

In addition, we compared the simulated electric field. At low frequencies, given a constant current, the voltage, and hence electric field is expected to scale with frequency as  $O(\omega^{-1})$ . This can also be seen from (13), at low frequencies, only zero eigenvalues are dominant. Since the right hand side  $b$  is linearly proportional to  $\omega$  as can be seen from (4),  $\tilde{u}$  in (13) should scale with frequency as  $O(\omega^{-1})$ , and hence  $u$ . In Table II, we compare the norm of the electric field  $\mathbf{E}$  vector simulated by the proposed method and that of the conventional full-wave FEM solver. Clearly, the proposed method reveals an accurate frequency dependence in the field solution. In contrast, the traditional full-wave FEM solver gives a wrong frequency dependence.

In Fig. 2(a), we plot the electric field at each edge in the computational domain at  $10^{-32}$  Hz simulated by the proposed method, which exhibits an open circuit phenomenon. Whereas, the traditional full-wave FEM solver gives very small magnitude, which is wrong, as shown in Fig. 2(b).

The proposed method is equally applicable at high frequencies without any modification. To validate it, we simulated the electric field of the parallel plate structure at three high frequency points: 10 GHz, 20 GHz, and 50 GHz respectively. In Table III, we list the norm of the electric field  $\mathbf{E}$  vector

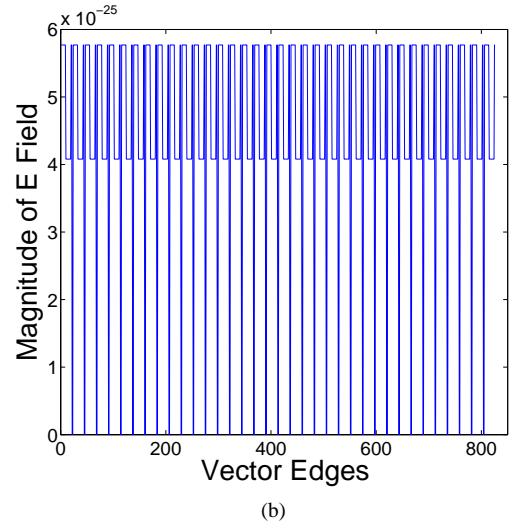
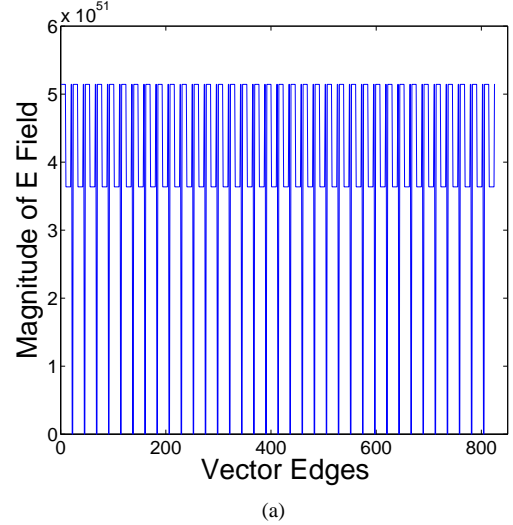


Fig. 2. Electric field simulated at each edge at  $10^{-32}$  Hz. (a) Proposed Method. (b) Traditional full-wave FEM solver.

TABLE III  
ELECTRIC FIELD SIMULATED BY THE PROPOSED METHOD ( $\mathbf{E}$ ) AND  
ELECTRIC FIELD SIMULATED BY THE TRADITIONAL FULL-WAVE FEM  
SOLVER ( $\mathbf{E}^*$ ) AT HIGH FREQUENCIES

Frequency (Hz)	$\ \mathbf{E}^*\ $ (V/m)	$\ \mathbf{E}\ $ (V/m)
10 G	$2.4686 \times 10^{10}$	$2.4686 \times 10^{10}$
20 G	$6.1714 \times 10^9$	$6.1714 \times 10^9$
50 G	$1.2343 \times 10^{11}$	$1.2343 \times 10^{11}$

simulated by the proposed method and that of the conventional full-wave FEM solver at the three frequencies. Clearly, the proposed method agrees very well with the conventional full-wave FEM solver at high frequencies.

Next we simulated the 3-D on-chip interconnect shown in Fig. 1(a). In this figure, the detailed geometrical and material parameters are given. The structure is of length  $2000 \mu\text{m}$  into the paper. Along the length direction, the front and the back end each is attached to an air layer, which is then truncated by a Neumann-type boundary condition. The top and bottom planes shown in Fig. 1(a) are backed by a PEC (perfect electric conducting) boundary condition. The left and right

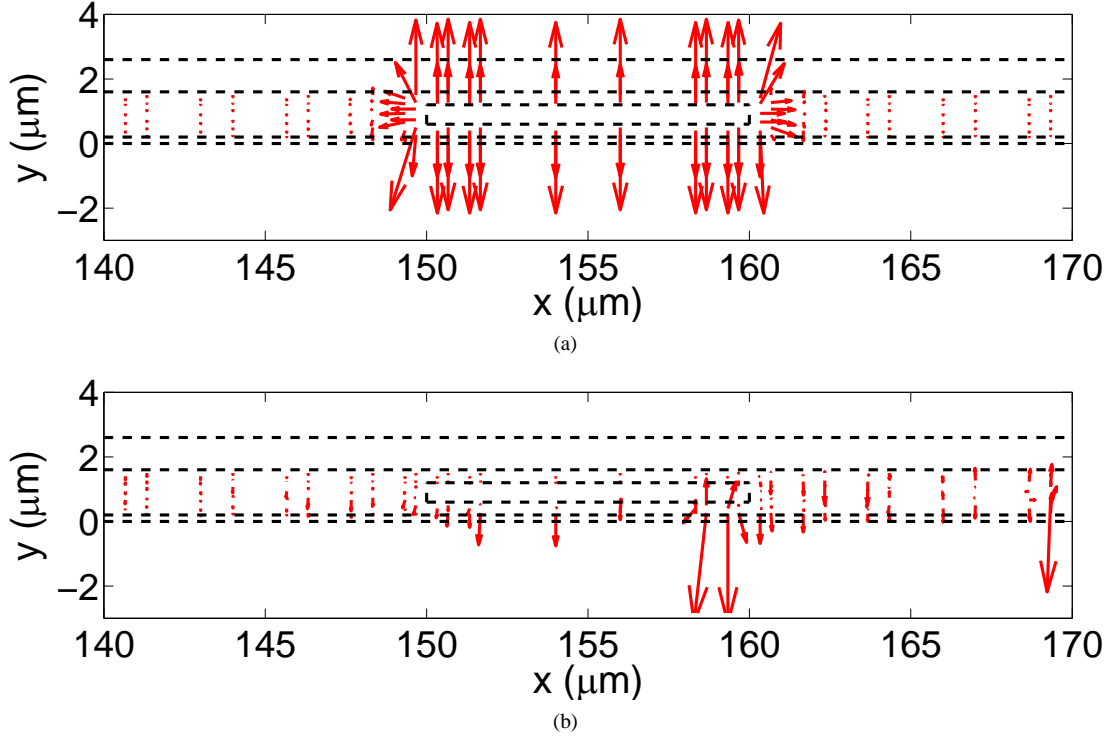


Fig. 3. (a) Electric field distribution generated by the proposed method. (b) Electric field distribution generated by a traditional full-wave FEM solver.

boundary conditions are Neumann-type boundary conditions. A current source of 1 A is launched from the bottom plane to the center conductor in the metal layer (shaded layer). In Fig. 3(a), we show the electric field distribution in the transverse plane at 1 Hz simulated by the proposed method. In Fig. 3(b), we plot the electric field distribution simulated by a conventional full-wave FEM solver. Clearly, the proposed method produces an accurate electric field distribution, whereas the traditional solver breaks down. In addition, we checked the normal component of the electric field in the two dielectric layers above the ground plane. The normal component of the electric field in the layer having  $\epsilon_r = 4$  is  $|E| = 9.4638658694489792 \times 10^{16}$ , whereas that in the layer having  $\epsilon_r = 8$  is  $|E| = 4.7466856099169584 \times 10^{16}$ , the ratio of which shows excellent agreement with the analytical value which is 2.

The last example is a lossy structure. It is a solid copper wire of length  $4 \mu\text{m}$ , width  $3 \mu\text{m}$ , and height  $3 \mu\text{m}$ . The conductivity is  $5 \times 10^7 \text{ S/m}$ . We injected a 4 A current along the length of the copper wire. In Table IV, we list the resistance simulated by the conventional full-wave FEM solver, and that simulated by the proposed method at three low frequencies. Clearly, the conventional full-wave FEM solver for the lossy system inside conductors also breaks down at low frequencies, although the breakdown frequency is much lower than that of lossless cases. In contrast, the proposed method shows very good agreement with analytical resistance.

## V. CONCLUSION

Full-wave FEM-based solutions break down at low frequencies. In this paper, we show that the low-frequency breakdown

TABLE IV  
RESISTANCE SIMULATED BY THE PROPOSED METHOD ( $R$ ) AND  
RESISTANCE SIMULATED BY THE TRADITIONAL FULL-WAVE FEM  
SOLVER ( $R^*$ )

Frequency (Hz)	$R^*$ ( $\Omega$ )	$R$ ( $\Omega$ )
1 K	0.008578164972632	0.008578164972632
$10^{-6}$	0.003484496898574	0.008578164972631
$10^{-32}$	$2.053771680135873 \times 10^{-53}$	0.008578164972631

problem is caused by finite machine precision. Hence, this problem is associated with many electromagnetic applications. However, the problem is especially severe in VLSI circuit applications because the breakdown frequency is in the range of circuit operating frequencies.

To eliminate the low-frequency breakdown problem, first, one has to know what is the actual solution of Maxwell's equations at low frequencies. However, such a benchmark solution does not exist because full-wave solvers break down at low frequencies while static solvers involve theoretical approximations. Hence, it is necessary to develop a theoretically rigorous method for solving Maxwell's equations at low frequencies. This paper provides for such a method. Furthermore, the method is equally applicable to high frequencies without any modification, and hence constituting a unified solution to Maxwell's equations in a full electromagnetic spectrum. The proposed method has been applied to the modeling of lossless and lossy VLSI circuits starting from DC. Numerical results have demonstrated its validity and rigor.

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